



## Legend

<b>A - Investment activities and services</b>	
1	Reception and transmission of orders in relation to one or more financial instruments.
2	Execution of orders on behalf of clients
3	Dealing on own account
4	Portfolio management
5	Investment advice
6	Underwriting of financial instruments and/or placing of financial instruments on a firm commitment basis
7	Placing of financial instruments without a firm commitment basis
8	Operation of Multilateral Trading Facilities (MTF)
9	Operation of Organised Trading Facilities (OTF)
<b>B - Auxillary services</b>	
1	Safekeeping and administration of financial instruments for the account of clients, including custodianship and related services such as cash/collateral management
2	Granting credits or loans to an investor to allow him to carry out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction
3	Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings
4	Foreign exchange services where these are connected to the provision of investment services
5	Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments
6	Services related to underwriting
7	Investment services and activities as well as ancillary services of the type included under Section A or B of Annex 1 related to the underlying of the derivatives included under Section C ç 5, 6, 7 and 10 - where these are connected to the provision of investment or ancillary services
<b>C - Financial instruments</b>	
1	C1 - Transferable securities (within the meaning of MiFID II) (equity securities and debt securities other than MMI)
2	C2 - Money-market instruments (MMI) (within the meaning of point (17) of Article 4 (1) of MiFID II)
3	C3 - Units in collective investment undertakings (CIU)
4	C4 - Financial contracts on financial underlyings that may be settled physically or in cash (see point C4 of Annex I of MiFID II)
5	C5 - Financial contracts on commodities that must be settled in cash or may be settled in cash at the option of one of the parties (see point C5 of Annex I of MiFID II)
6	C6 - Financial contracts on commodities that can be physically settled and traded on a regulated market, a MTF or an OTF (see point C6 of Annex I of MiFID II)
7	C7 - Financial contracts on commodities that can be physically settled not otherwise mentioned in C6 and not being for commercial purposes (see point C7 of Annex I of MiFID II)
8	C8 - Derivative instruments for the transfer of credit risk
9	C9 - Financial contracts for differences
10	C10 - Financial contracts on non-physical underlyings or not otherwise mentioned above (see point C10 of Annex I of MiFID II)
11	C11 - Emission allowances (see point C11 of Annex I of MiFID II)

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